



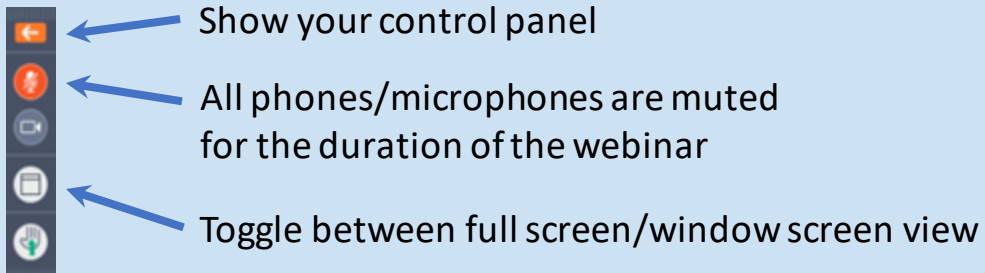
Session 7: Applying for CWSRF Funding

Thursday, July 20th, 2023

Logistics

Using the control panel

Opening the control panel

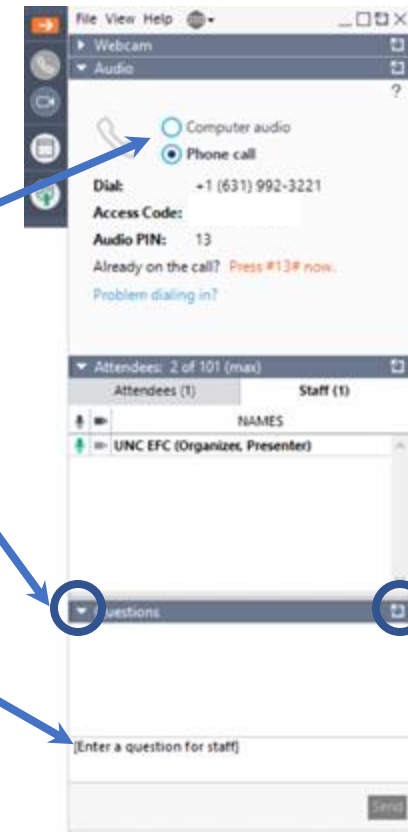


Audio: please choose between computer audio or phone call

If you do not hear audio right now, please check your speaker volume or enter #[your Audio PIN]# if using phone

Click  to open in Control Panel

Submit **questions** in the Questions box at any time, and press [Send]



Click  to open in separate box and resize

About Us

Hayley Hajic, M.S.

Project Manager
Southwest Environmental Finance Center



About Us

The Environmental Finance Center Network (EFCN) is a university- and non-profit-based organization creating innovative solutions to the difficult how-to-pay issues of environmental protection and environmental infrastructure.

The EFCN works collectively and as individual centers to address these issues across the entire U.S, including the 5 territories and the Navajo Nation. The EFCN aims to assist public and private sectors through training, direct professional assistance, production of durable resources, and innovative policy ideas.





EFCN members and Partners Participating in this Project



SOUTHWEST
ENVIRONMENTAL
FINANCE CENTER



UNC
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**Environmental
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Syracuse University



EFCWest

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Environmental Finance Center



MISSISSIPPI STATE UNIVERSITY™
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RESEARCH INSTITUTE

CWSRF Series

- **January 19, 2023** | What is the CWSRF?
- **February 16, 2023** | Changes to the SRF with additional BIL money
- **March 16, 2023** | Economics and affordability of low interest rate loans
- **April 20, 2023** | Why use SRF funds?
- **May 18, 2023** | What types of projects are eligible for CWSRF funding?
- **June 15, 2023** | Assessing Financial Condition to prepare for SRF Application
- **July 20, 2023** | Applying for SRF Funding
- **August 17, 2023** | Documents needed to apply for SRF Funding (PER, EID, etc.)
- **September 21, 2023** | What happens after the application is submitted?
- **October 19, 2023** | Analyzing and Adjusting Rates to Manage SRF Debt Service
- **November 16, 2023** | Navigating Federal Regulations
- **Tuesday, December 19, 2023** | How do I manage my grant after award?

- Intended as a series
- You can view recordings of any trainings you miss:



About Us ▾

Training & Events

Resources ▾

Our Initiatives

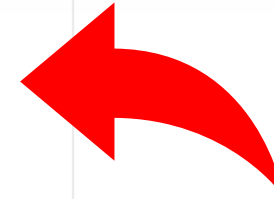
Contact

Get Help



CWSRF Series Calendar:

- **January 19, 2023** | What is the CWSRF and why should you care? | [View Recording](#) | [Download Slides](#)
- **February 16, 2023** | Changes to the SRF with additional BIL money | [View Recording](#) | [Download Slides](#)
- **March 16, 2023** | Why Small Communities Should Consider CWSRF Funding | [View Recording](#) | [Download Slides](#)
- **April 20, 2023** | Economics and affordability of low-interest rate loans
- **May 18, 2023** | What types of projects are eligible for CW SRF funding?
- **June 15, 2023** | Assessing Financial Condition to prepare for SRF Application
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Workshop Overview

1. Intended Use Plans
2. Goals
3. Loan Terms and Rates
4. Additional Subsidy
5. Application Process
6. Application Examples
7. Resources
8. Questions

Intended Use Plan – Your Road Map

**Short and Long
Term Goals**

**How Much Money
is Available
(sources & uses)**

**Loan Rate and
Terms**

**Priority Ranking
Criteria**

Additional Subsidy

**Disadvantaged or
Affordability
Criteria**

**Readiness to
Proceed Criteria**

STATE REVOLVING FUND SWITCHBOARD

The [Southwest Environmental Finance Center](#) has partnered with [Spring Point Partners](#) to create a repository of documentation and tools related to State Revolving Funds.

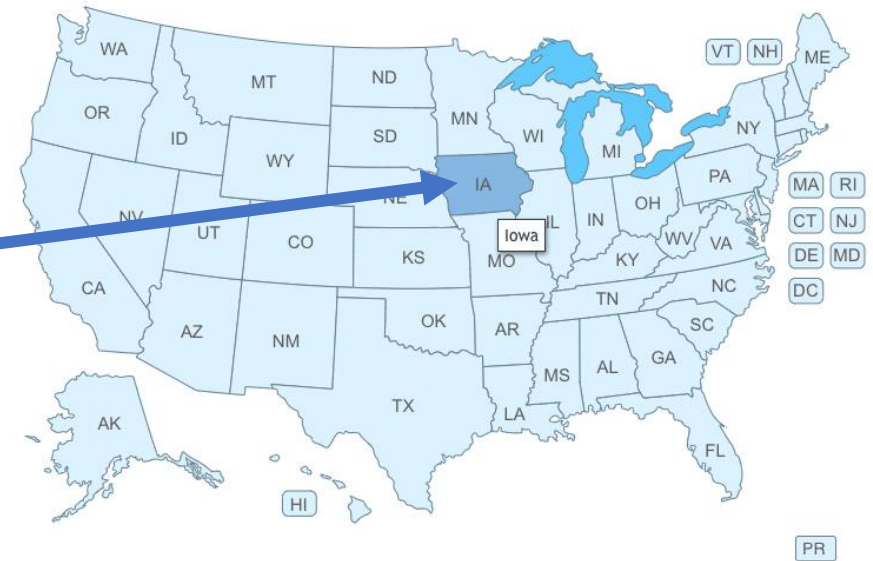
The Funding Navigator Model

Funding Navigator Video

Funding Navigator Concept - 1 page

(Click on a state to navigate to its resources)

Click on whatever state you want to get the relevant documents



<https://swefcsrfswitchboard.unm.edu/srf/>

Look in the Annual Report and Intended Use Plan

G₂ O₁ A₁ L₁ S₁



Tennessee Example



Long Term

Facilitate allocation of program resources to address the most significant public health and water quality compliance problems by actively working with these systems and the TDEC regulatory staff.

Short Term

1. Provide funding assistance for developing and implementing local water quality protection initiatives.
2. Partner with the TN Department of Agriculture, Non-Point Source 319 program to promote water quality protection using 319 funds, CWSRF funds, and project leveraging.
3. Expand the use of Green Project Reserve (GPR) funding to include more projects and encourage innovative use of SRF funds following EPA's guidance. The SRF Loan Program has elected to strive for a goal of up to 18% of grant funds to be used for innovative, green, or resilient projects.

Maryland Example



Long Term

Funding Prioritization – The updated IPPS rating and ranking system targets financial assistance to projects that help meet the Maryland’s Final Watershed Implementation Plan (WIP) to address the Chesapeake Bay Total Maximum Daily Load.

Short Term

Finance Advanced Treatment at WWTPs for Nutrient Reduction – To provide low-cost financing to local governments to upgrade targeted wastewater treatment facilities with advanced treatment to reduce nitrogen and phosphorus discharges as part of the Maryland’s WIP. M

Green Infrastructure, Water and Energy Efficiency and Environmental Innovation Projects – The MWIFA has actively solicited “green” projects to be included in the FFY 2023 IUP for funding to help restore Maryland’s waterways as part of the larger Chesapeake Bay restoration goals.

Loans and Rates

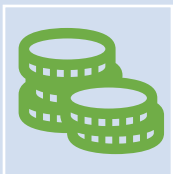


Does the program offer extended loan terms allowed Federally?

Federally, you can go up to 30 years. Some states will only give loans up to 20 years.



Does the program offer special loan rate/terms for disadvantaged or nonpoint source projects?



Are there additional fees to consider?

New Mexico Example

Up to 30-year term



Loans are set up to be repaid in annual installments

Current policy set interest rates at 0%, 0.50%, and 1%.

Local authorities are eligible for a 0% rate when their per capita income (PCI) is less than 75% of the statewide average PCI and their wastewater system user rates are greater than 1.82% of the PCI.

Local authorities with a PCI that is equal to or less than the statewide PCI are eligible for 0.50%.

Local authorities with a PCI greater than the statewide PCI qualify for 1%.

NMED may assess an administrative fee not to exceed five percent of the total loan amount.

The fee is variable, dependent upon the term and interest rate of the loan and is earned annually on the principal amount.

The administrative fee is included in the interest rate charged to borrowers.

This administrative fee is deposited into the Clean Water Administrative Fund. The fee serves to perpetuate the administration of the fund.



Additional Subsidy

Every year state can use additional subsidy via grants or loan forgiveness. Amounts of additional subsidy range between 10-40% of federal grant amount.

1

Affordability

2

Stormwater

3

Energy or
water
efficiency
projects

4

Sustainable
project
planning,
design, and
construction

Texas Subsidies

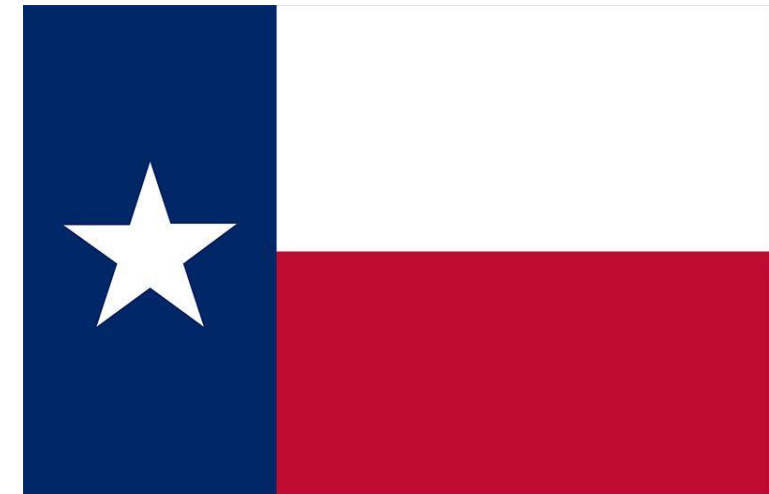
Funding Option	Amount ****	Principal Forgiveness	Interest Rates		Origination Fee
			Equivalency	Non-Equivalency	
Disadvantaged Community	\$17,000,000	30%, 50%, or 70%*	Interest rate reduction of 40%**	N/A	1.75% ***
Disadvantaged Community – Small / Rural only Principal Forgiveness	\$2,000,000	Maximum amount per project/entity varies from \$300,000 to \$500,000	N/A	N/A	N/A
Subsidized Green Principal Forgiveness	\$4,600,000	Up to 15% of CWSRF-funded Green Costs – Maximum of \$1,000,000	N/A	N/A	N/A
Emergency Preparedness	\$3,000,000	Up to \$75,000 per entity	N/A	N/A	N/A
Urgent Need Principal Forgiveness	\$2,000,000	Maximum amount per project varies from \$500,000 to \$800,000	N/A	N/A	N/A
Urgent Need Loans/Bonds	\$4,000,000	N/A	N/A	0%	1.75% ***
Disadvantaged Community – Small / Rural only– Bond/Loan	\$9,000,000	N/A	0%	N/A	1.75% ***
Asset Management Bonds/Loans (AMPSS) – for preparation of asset management plans and implementation of plans	\$2,000,000	N/A	0%	0%	1.75%
Bonds/Loans	\$206,400,000	N/A	Interest rate reduction of 40%**	Interest rate reduction of 35%**	1.75%

* Percentage of CWSRF-funded project costs remaining after subtracting other CWSRF principal forgiveness

** Based on a level debt service schedule

*** Not assessed on the principal forgiveness portion of project funding

**** An amount equal to principal forgiveness and zero interest loan funds from any funding category not allocated may be used for regular bond/loan funding.



d. Emergency Preparedness for Severe weather- Evaluation/Audit (Non-Equivalency)

Emergency Preparedness principal forgiveness may be available for the preparation of an emergency preparedness evaluation/audit plan. It would determine future needs to ensure compliance with statutory and regulatory standards of emergency operations that directly affect operation of a wastewater system during an extended power outage from severe weather that impacts the system. The maximum amount available for a wastewater system is \$75,000. The evaluation/audit must be submitted to TWDB.

Entities that submitted a Project Information Form by March 10, 2021 may amend their project to incorporate the evaluation/audit and these projects would receive priority based on ranking in allocating the available principal forgiveness, subject to the Disadvantaged / Small / Rural Set-aside.

c. Subsidized Green Funding (Equivalency or Non-Equivalency)

Entities may be eligible to receive Subsidized Green principal forgiveness if their project has elements that are considered green and the cost of the green portion of their project is 30 percent or greater than the total project cost. The project may be eligible for Additional Subsidization by implementing a process, material, technique, or technology (i) to address water-efficiency goals; (ii) to address energy-efficiency goals; (iii) to mitigate stormwater runoff; or (iv) to encourage sustainable project planning, design, and construction. This funding option offers principal forgiveness for up to 15 percent of the total CWSRF-funded eligible green component costs and is available for Equivalency or Non-Equivalency projects.

Disadvantage Communities/Affordability

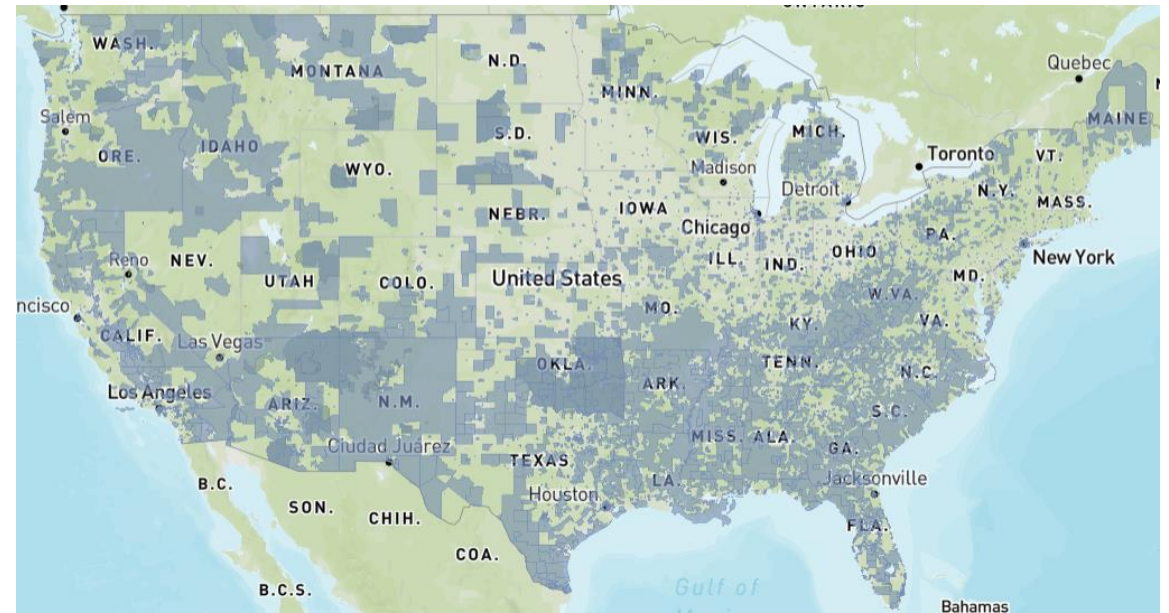
States develop their own
criteria.

Generally based on:

- Income
- Unemployment
- Population trend



Climate and Economic Justice
Screening Tool



[https://screeningtool.geoplatform.gov/en/#3.03/42.16/-95.82'](https://screeningtool.geoplatform.gov/en/#3.03/42.16/-95.82)

Other Factors States May Use

**% of Population
in Poverty in
20XX**

**% of Population
over 64**

**Environmental
Justice Area**

**% Not in Labor
Force**

% with SNAP

Home Sales

**Residential User
Bill Delinquency
Rate**

New Mexico Example

AFFORDABILITY CRITERIA SYSTEM

Category	Criteria	Points
Income	<ul style="list-style-type: none"> Service area of project has a per capita income of 80% or less than the national average. 	50
Population OR Population Trends	<ul style="list-style-type: none"> County Population is less than 200,000 or County Population is greater than 200,000 but the population has declined in the previous 5 years. Municipality/service area population is less than 20,000 or the population is greater than 20,000 but the population has declined in the previous 5 years. 	50
Unemployment	<ul style="list-style-type: none"> Municipality/county/service area unemployment is higher than the national average 	50
<p>Eligibility for Additional Subsidy: All public entities receiving 50 points or higher are eligible to receive additional subsidy.*</p>		<p>TOTAL POSSIBLE: 150</p>

*Actual award of additional subsidization is based on availability.

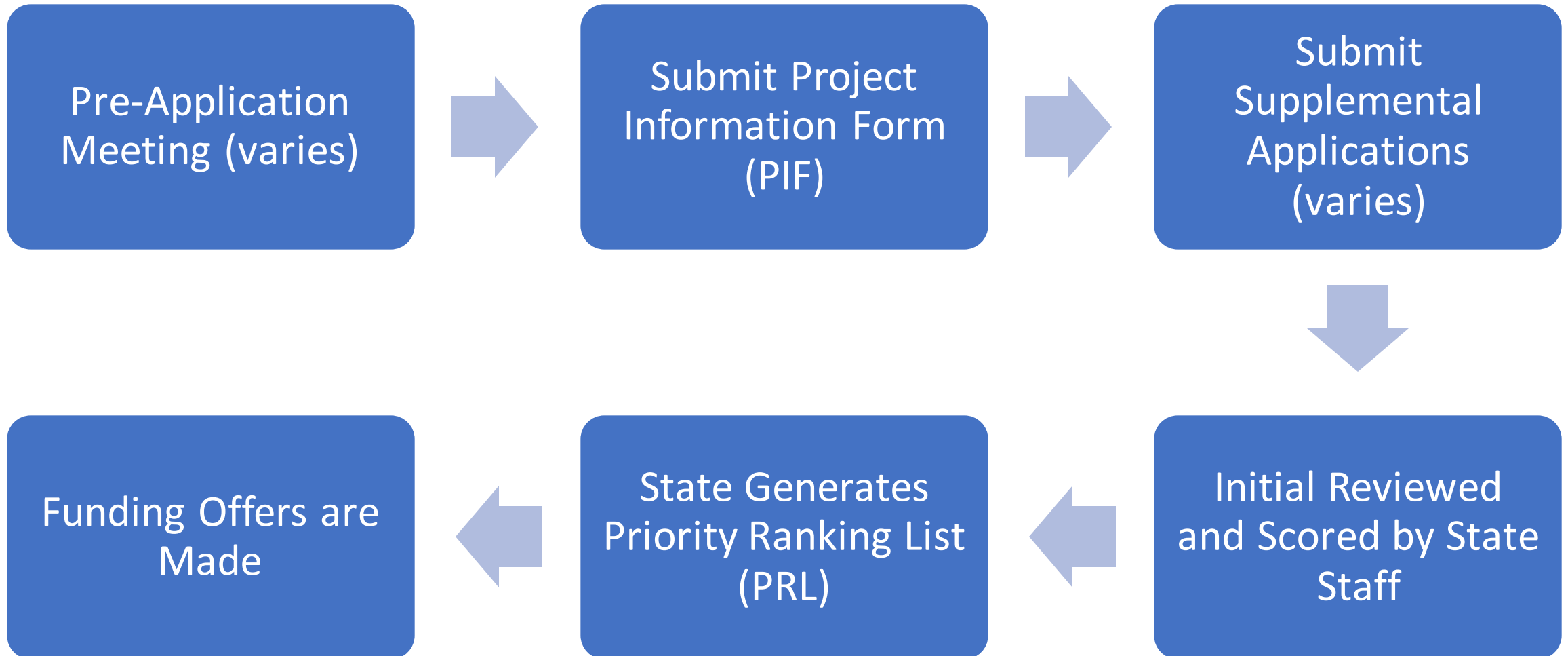
Poll: What are the 4 main categories of additional subsidy? (*single answer*)

- a. Affordability, stormwater projects, energy or water efficiency projects, planning/assessment projects
- b. Stormwater projects, affordability, "sustainable" projects, energy or water efficiency projects
- c. "Sustainable" projects, energy or water efficiency projects, pretreatment projects, affordability
- d. Energy or water efficiency projects, pretreatment projects, affordability, resource extraction projects

Application Process

Application Process

**DATES ARE
IMPORTANT!!!!**



Required part of the Intended Use Plan

```
graph TD; A[Required part of the Intended Use Plan] --> B[Tremendous flexibility by each state]; B --> C[What are the state's priorities?]; C --> D[How does your community's projects stack up to those priorities?]; D --> E[In very competitive states ranking becomes crucial]; E --- F[Scoring & Priority Ranking]
```

Tremendous flexibility by each state

What are the state's priorities?

How does your community's projects stack up to those priorities?

In very competitive states ranking becomes crucial

Scoring
&
Priority
Ranking

Colorado Example

Attachment I: Water Pollution Control Revolving Fund Priority Scoring Model

Water Quality Improvement Criteria	Points
Project addresses a water quality impairment identified in the 303(d) list or a groundwater standard that has been exceeded.	40
Project will implement an approved TMDL (total maximum daily load):	
• 1 TMDL.	50
• 2 TMDLs.	75
• 3 or more TMDLs.	80
Project applies BMPs to mitigate against erosion, sedimentation, pollution runoff including:	
• Creation of riparian buffers, floodplains, vegetated buffers, slope stabilization and additional stream restoration methods.	10
• Supports wetland protection, restoration or creation by means of constructed wetlands.	10
Project corrects individual sewage disposal systems or exfiltration for sewers shown to be polluting either surface or groundwater and mitigates a public health emergency and/or a confirmed repeated contamination of a supply source by E. coli, fecal coliform or nitrate above established standards.	50
Financial/Affordability Criteria*	Points
Median Household Income (MHI) of service area:	
• <50% of state MHI	35
• From 50% to 80% of state MHI.	20
• From 81% to 100% of state MHI.	5
• >100% of state MHI.	0

Permit Compliance	Points
Project is designed to maintain permit compliance or meet new permit effluent limits	40
Project addresses a facility's voluntary efforts to resolve a possible violation and will mitigate the issuance of a consent order or other enforcement action.	25
Project addresses an enforcement action by a regulatory agency and the facility is currently in significant non-compliance.	15
Sustainability/Green Project Reserve (GPR)	Points
Project incorporates one or several of the following planning methodologies:	
• Regionalization and consolidation.	
• Promoting sustainable utilities and/or communities through:	
o Fix it first.	
o Asset management planning.	
o Full cost pricing.	
o Life cycle cost analysis.	
o Evaluation of innovative alternatives to traditional solutions.	
• Conservation easements and/or land-use restrictions.	
	5 for one or more

Sustainability / Green Project Reserve Continued	Points
Project incorporates Green Project Reserve Components at a minimum of 20 percent of total project costs:	
<ul style="list-style-type: none"> ● Green infrastructure. 	10
<ul style="list-style-type: none"> ● Water efficiency. 	10
<ul style="list-style-type: none"> ● Environmentally innovative. 	5
<ul style="list-style-type: none"> ● Energy efficiency. 	5
<ul style="list-style-type: none"> ● Project is categorically eligible for the GPR and does NOT require a business case (bonus points). 	5
Readiness to Proceed	Points
Project has secured the following:	
<ul style="list-style-type: none"> ● Plans and specifications approved. 	5
Project implements one or more of the following planning instruments:	
<ul style="list-style-type: none"> ● Watershed management plan. 	
<ul style="list-style-type: none"> ● Source water protection plan. 	
<ul style="list-style-type: none"> ● Nonpoint source management plan. 	
<ul style="list-style-type: none"> ● Approved 305(b) Report Category 4b designation. 	
<ul style="list-style-type: none"> ● Nutrient management plan. 	
<ul style="list-style-type: none"> ● Comprehensive land use planning. 	5 for one or more
Project has funding secured by multiple financial assistance provider(s)	10

Maryland Example

Description	Points
Water Quality Benefit: Gives priority to projects with higher Total Nitrogen (TN) reduction and with a high Relative Effectiveness (RE) of the nutrient reduction.	Max 40 points
Public Health Benefit: Gives highest priority to projects that mitigate a documented public health emergency or confirmed repeated contamination of a drinking water supply by E. coli, fecal coliform, or nitrate above drinking water Maximum Contaminant Level (MCL), as confirmed by documentation submitted by the applicant.	Max 40 points
Water Quality/Public Health Compliance: Gives priority to projects that are required by a final administrative or judicial order in order to meet compliance.	Max 20 points
Nitrogen Removal Cost Efficiency: Gives priority to the most cost-efficient projects per pound of nitrogen reduced (less than or equal to \$50/lb TN per year.).	Max 30 points
Co-Benefits: This category awards “bonus” points to projects that implement Climate Mitigation, Adaptation and Resiliency and/or Sustainability elements to their project plan.	Max 70 points

Readiness to proceed

How ready does your project need to be in order to get ranked?

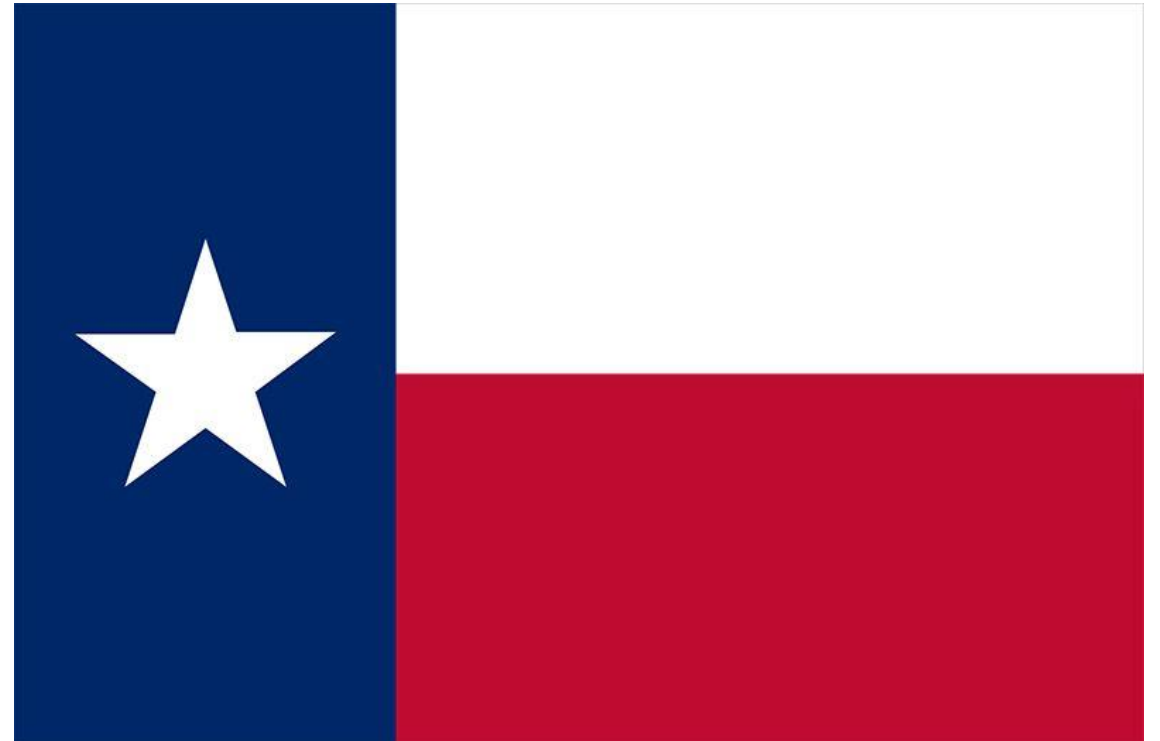
Does the state offer planning funds to get projects ready for construction?

Can your project create a schedule to get to construction within a year?

Are there milestones that your project must hit or else it gets bypassed?


Texas Example: Readiness to Proceed

“Projects having no significant permitting, land acquisition, social, contractual, environmental, engineering or financial issues that would keep the project from proceeding in a timely manner to construction.”



Project Priority List

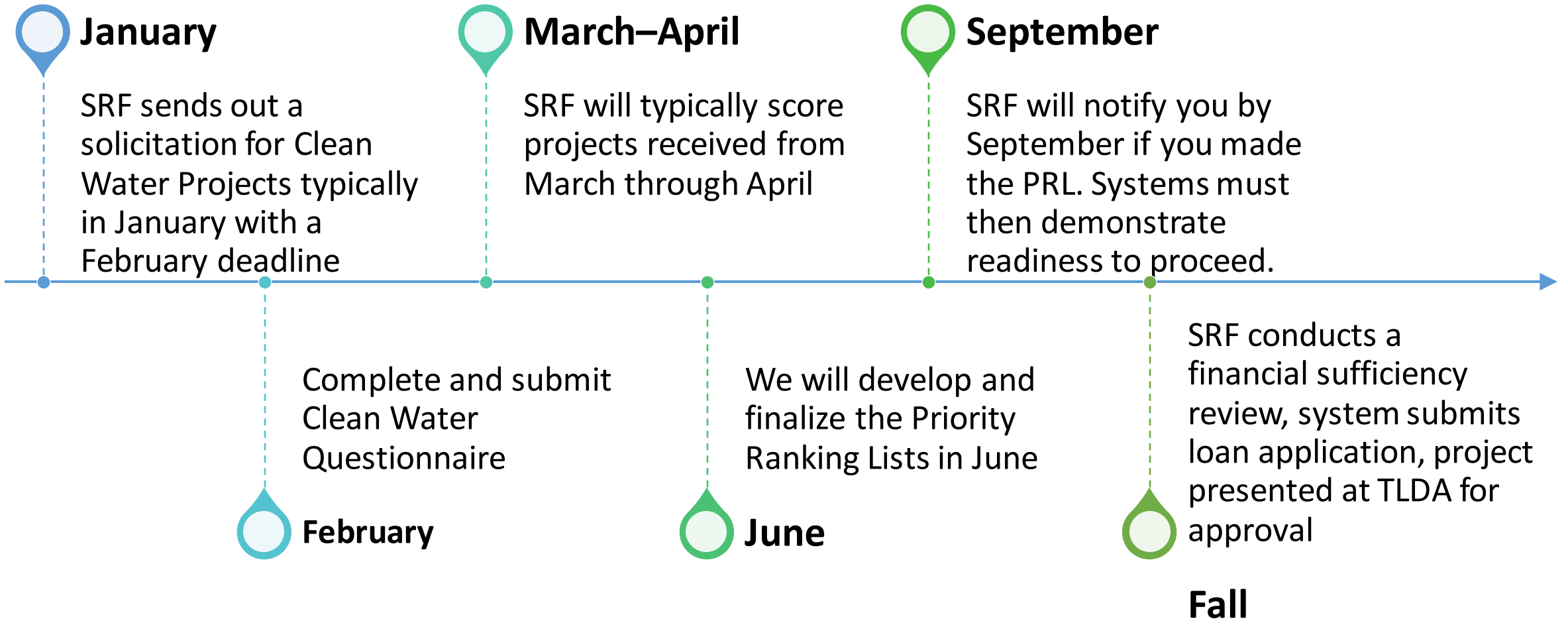
A list of projects that have requested funding that year and are ranked according to the priority system for the program.

		CWSRF Final Project Priority List FY2022						
Rank	Project #	Applicant	Location	Estimated Loan Amount	MAHI*	Disadvantaged Principal Forgiveness 15%	MAHI < \$36,000 Principal Forgiveness 5%	Total Principal Forgiveness

PROJECTS WITH PRIOR YEAR SEGMENTS								
1	5655-03	GLWA	Wayne County	\$20,000,000				
2	5005-24	City of Lansing	Ingham County	\$24,000,000	\$40,203	\$3,600,000		\$3,600,000
3	5756-01	City of Durand	Shiawassee County	\$2,000,000	\$42,730	\$300,000		\$300,000
4	5702-01	Charter County of Wayne	Wayne County	\$6,000,000				
5	5748-01	Charter County of Wayne	Wayne County	\$12,600,000				

CWSRF Application Examples

TN Application Process:



Tennessee Example

Section 3. Funding	
Clean Water State Revolving Fund (CWSRF): <input type="text"/>	CWSRF - Green Project Reserve: <input type="text"/>
Loan Type (select from drop down): Planning	
Desired Loan Award Date: <input type="text"/>	Loan Term: 30 Year
Section 4. Project Information	
Primary Reason for the CW project: Regionalization/Consolidation	
	Estimated number of people who

- Planning
- Design
- Construction
- Planning & Design
- Design & Construction
- Planning, Design, & Construction

Section 4. Project Information

Primary Reason for the CW project (*select from drop down*):
Regionalization/Consolidation Comments:

Project Location: Estimated number of people who will benefit from this project:

MS4 Jurisdiction:

Detailed project description(s) to include essential major components, lengths, and sizes if applicable. The project location(s) should be included on an attached map. Attach additional pages as needed.

[Redacted project description area]

Section 4. Project Information

Primary Reason for the CW project (*select from drop down*):

- Regionalization/Consolidation
- Achieve Compliance
- Maintain Compliance
- Public Health/Pathogen Reduction
- Meet Future Requirements
- Infrastructure Improvement
- Regionalization/Consolidation
- Groundwater Protection
- Wetland Restoration

Construction Start Date: Construction Completion Date:

Section 5. Project's Demonstration for Readiness to Proceed

	Yes	No
Has the latest fiscal year of your audited financial statements been submitted to the Tennessee Comptroller of the Treasury, Division of Local Government Audit?	<input type="checkbox"/>	<input type="checkbox"/>
Is the planning document complete for this project?	<input type="checkbox"/>	<input type="checkbox"/>
Are the plans and specifications 100% complete for this project?	<input type="checkbox"/>	<input type="checkbox"/>
Have all easements required for this project been obtained?	<input type="checkbox"/>	<input type="checkbox"/>
Have all required permits from the U.S. Army Corps of Engineers, TVA, TDEC, or any other regulatory authority been obtained (if applicable)?	<input type="checkbox"/>	<input type="checkbox"/>

Section 6. Permits and Environmental

State Operating Permit or NPDES Permit Number (new or revised):

Name of Receiving Stream:

8-digit Hydrologic Unit Code:

Is receiving stream on the most current 303(d) list? Yes

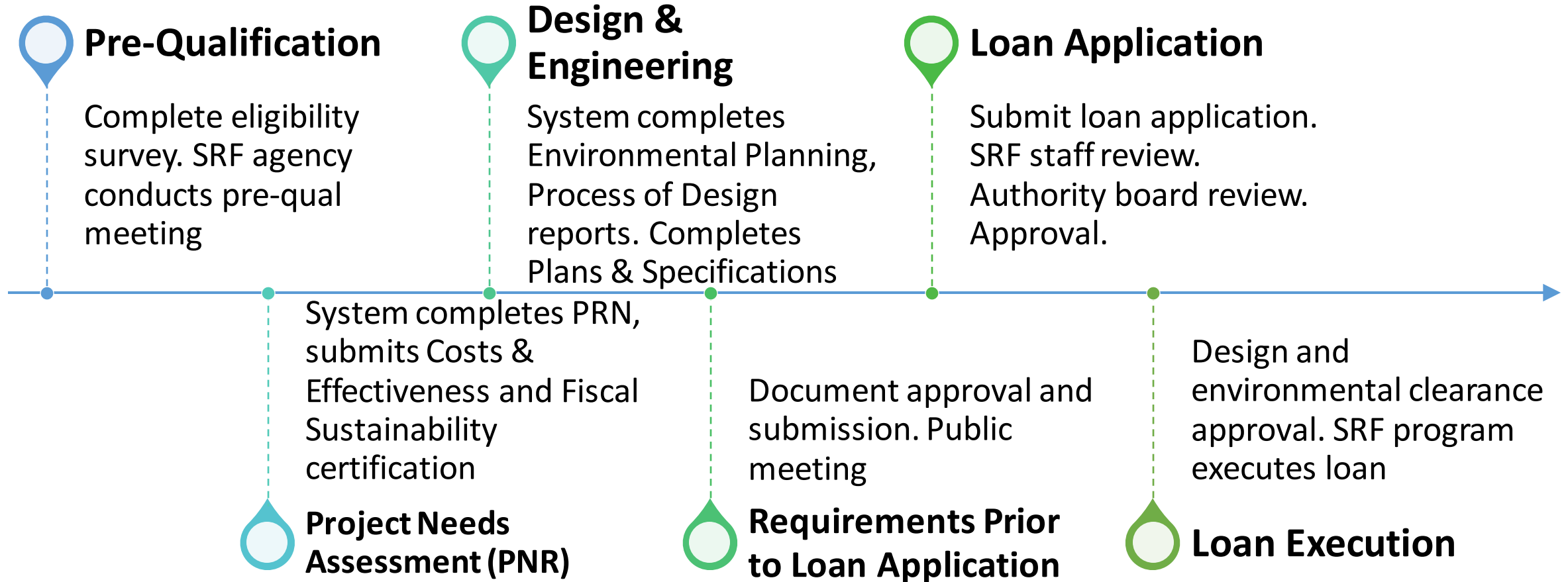
No

If there are enforcement issues, including Orders or Consent Decrees, please provide the state or federal reference number and a description of the violation.

Section 7. Project Cost Information	
Project Type (select from drop down):	WWTP Upgrades and Improvements ▾ Cost(\$):
Project Type (select from drop down):	N/A ▾ Cost(\$):
Project Type (select from drop down):	N/A ▾ Cost(\$):
Project Type (select from drop down):	N/A ▾ Cost(\$):
Total SRF Amount Requested (\$):	\$ 0.00
Additional Funding Source:	Amount (\$):
Additional Funding Source:	Amount (\$):
Total Estimated Project Cost(\$):	\$0.00
Green Project Funding (GPR) Category (select from drop down): N/A ▾	
Total GPR Component (\$):	<ul style="list-style-type: none"> Green Infrastructure Water Efficiency Energy Efficiency Environmentally Innovative N/A
Certification and Signature	
An application submitted by a corporation must be signed by a principal executive officer; from a partnership or proprietorship, by the partner or proprietor respectively; from a municipal, state, federal or other public agency or facility, the application must be signed by either a principal executive officer, ranking elected official, or other duly authorized employee.	

Certification and Signature			
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<i>"I am an authorized representative of the applicant and I certify that the information provided above is true and accurate to the best of my knowledge and that all warranted and necessary inquiries to employees, officers, and records of the applicant were made in good faith to provide the State Revolving Fund Loan Program with the most current and honest answers to each of the above questions."</i>			
Printed Name	Official Title	Signature	Date

CO Application Process:



Apply for loan

∨ 1. Prequalification meeting

∨ 2. Project needs assessment/environmental determination

∨ 3. Plans and specifications

∨ 4. Loan application

∨ 5. Loan recipients




∧ 2. Project needs assessment/environmental determination

After the three agencies that administer the state revolving fund program review the application, the owner completes and submits the project needs assessment and environmental checklist electronically to their assigned division project manager. Supporting documentation is required and should be attached to the PNA along with the environmental checklist submission.

Technical, managerial and financial assessment (drinking water projects only)

- [Drinking Water Projects Technical, Managerial and Financial Capacity - User Guide.](#) 

State environmental review process

- [Environmental determination checklist.](#) 
- [Environmental assessment template and agency contact list.](#) 
- [Environmental assessment memorandum.](#) 

Public meeting

The public meeting must be properly noticed at least 30 days before in the legal section of the local publication.

- [Public meeting guidance and template.](#) 



ENVIRONMENTAL CHECKLIST

Use the Discussion and References space at the end of each section to document your responses. For example, explain how you determined the level of impact and document the reasoning if checking PA (possible adverse) for any resource. Attach additional pages if necessary.

1. Brief project description, including identification of selected alternative:

2. Describe if the project will improve or maintain water quality, and if the project addresses a TMDL, and/or Watershed Management Plan.

3. Provide latitude and longitude of the proposed project (if a transmission / distribution / collection line identify the center point not the whole line):

4. Provide discharge (WW) or source (DW) information: N/A

Y = Yes

N = No

PA = Possible Adverse

1. Physical Aspects - Topography, Geology and Soils

Y N PA a.

Are there physical conditions (e.g., steep slopes, shrink-swells soils, etc.) that might be adversely affected by or might affect construction of the facilities?

Y N PA b.

Are there similar limiting physical conditions in the planning area that might make development unsuitable?

Y N PA c.

Are there any unusual or unique geological features that might be affected?

Y N PA d.

Are there any hazardous areas (slides, faults, etc.) that might affect construction or development?

Discussion and References:

2. Climate

Y N PA a.

Are there any unusual or special meteorological constraints in the planning area that might result in an air quality problem?

Y N PA b.

Are there any unusual or special meteorological constraints in the planning area that might affect the feasibility of the proposed alternative?

Discussion and References:

3. Population

Y N PA a.

Are the proposed growth rates excessive (exceeding State projections, greater than 6% per annum for the 20 year planning period)?

Y N PA b.

Will additional growth be induced or growth in new areas encouraged as a result of facilities construction?

Y N PA c.

Will the facilities serve areas which are largely undeveloped areas at present?

Discussion and References:

Recommendations

1

**Read your
state's IUP**

2

**Visit your
state's
CWSRF
website**

3

**Contact your
state's
CWSRF
program and
ask
questions**

Poll: Where can you find additional information on the CWSRF application for my state and other helpful resources to improve my application? *(multiple choice answer)*

- a. My state's Intended Use Plan
- b. My state's Project Priority List
- c. My state's CWSRF website
- d. SW EFC's State Revolving Fund Switchboard

Other CWSRF Resources

Contact lists

State contacts

<https://www.epa.gov/cwsrf/state-cwsrf-program-contacts>

Also available in the
downloads

Regional office information is
also available

<https://www.epa.gov/cwsrf/epa-regional-office-cwsrf-contacts>

Funding Tables by State

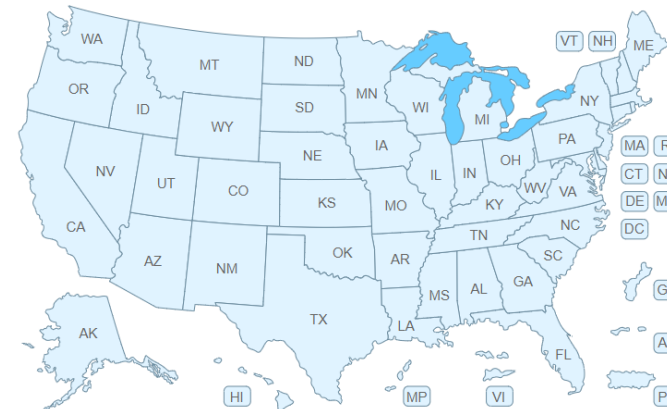
<https://efcnetwork.org/resources/funding-tables/>



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Funding Sources By State Or Territory

We work with state and federal agencies to make sure that current funding opportunities are consolidated in one place. Click the map below to find water and wastewater infrastructure funding sources for your state or territory.



New York Water and Wastewater Funding Sources

Compiled by the Environmental Finance Center Network, July 2022

Organization	Program (key words)	Gov. Entity	Non- Profit	For- Profit	Purpose or Use of Funds	How to Apply	Website	Contact
New York State Environmental Facilities Corporation (EFC)	Drinking Water State Revolving Fund (DWSRF) (water)	✓			The Drinking Water State Revolving Fund (DWSRF) provides financial assistance for drinking water projects. Examples include treatment plants, distribution mains and storage facilities. EFC provides both short and long-term financings to accommodate communities of all population sizes with varying financial needs. Interest-free financing and grants may be available to qualifying communities with demonstrated financial hardship. The DWSRF is administered jointly by EFC with the State Department of Health. EFC administers the financial aspects of the DWSRF.	To be considered, projects need to be listed on the Annual List of the DWSRF Intended Use Plan. This is done by completing a Project Listing Form and submitting it along with a Smart Growth Assessment Form and Engineer's report. Projects are typically listed each October 1st with the release of the Intended Use Plan. Once listed, applicants can submit a DWSRF Financing Application. Listing and application information can be found here: https://efc.ny.gov/dwsrf-apply	www.efc.ny.gov/dwsrf	Chief, Design Section Bureau of Water Supply Protection NYS Department of Health bpwsp@health.ny.gov 518-402-7650 or 1-800-458-1158 Corning Tower, Empire State Plaza Albany, New York 12237
	Emergency Financial Assistance (water, wastewater)	✓			Emergency financial assistance is available to municipalities for wastewater and drinking water system issues that pose hazards to public health, public welfare or the environment. The amount is based on the reasonable costs immediately necessary to address the emergency. The financial assistance is to be repaid within one year.	Assistance can be provided within two business days of a determination by the Department of Environmental Conservation (DEC) or Department of Health (DOH). Your will have to formally declare an emergency and submit an application.	https://www.efc.ny.gov/emergency	Edward Hampston, P.E. Director, Bureau of Water Compliance Division of Water New York State Department of Environmental Conservation 518-402-9660 edward.hampston@dec.ny.gov
	Clean Water State Revolving Fund (CWSRF) (wastewater, sewer, stormwater)	✓			The Clean Water State Revolving Fund provides interest-free or low-interest rate financing for wastewater and sewer infrastructure projects to municipalities throughout New York State. A variety of projects are eligible for financing, including construction or restoration of sewers and wastewater treatment facilities, stormwater management, landfill closures, as well as habitat restoration and protection projects.	To be considered for CWSRF financing, applicants will need to complete a Project Listing Form, a Smart Growth Assessment Form, and a CWSRF Financing Application. Application information can be found at https://efc.ny.gov/cwsrf-apply	www.efc.ny.gov/cwsrf	Dwight Brown Division of Operations & Program Mgmt
	Water Infrastructure Improvement Act (WIIA) (water, wastewater)	✓			EFC is offering \$400 million in grants for clean and drinking water projects during the 2021-2022 state fiscal year to municipalities with infrastructure projects that protect public health and/or improve water quality. Various types of clean and drinking water infrastructure projects are eligible, including critical water infrastructure projects that combat emerging contaminants (EC), such as PFOA, PFOS and 1,4 dioxane with system upgrades and innovative pilot technologies, along with those that address combined and/or sanitary sewer overflow impacts from sustained rain events.	Clean Water: Limited to \$5 million per year per municipality. Drinking Water: Limited to \$3 million per year. Deadline for 2022 is September 9th. Specific requirements and application procedures for each offering of WIIA and IMG grants are posted on EFC's website when the application period is open.	https://www.efc.ny.gov/WIIA	NYSWaterGrants@efc.ny.gov 518-402-6924 625 Broadway Albany, New York 12207

CW SRF Resources

- EPA's CW SRF Factsheets
 - <https://www.epa.gov/cwsrf/clean-water-state-revolving-fund-cwsrf-factsheets>
- EFC's SRF Infohub
 - <https://swefcsrfswitchboard.unm.edu/srf/>



Southwest EFC Home Document Types ▾ Documents by State ▾ Additional Funding Sources Switchboards ▾ 🔍

STATE REVOLVING FUND SWITCHBOARD

The [Southwest Environmental Finance Center](#) has partnered with [Spring Point Partners](#) to create a repository of documentation and tools related to State Revolving Funds.

The
Funding
Navigator
Model

🔍 Funding Navigator Video

🔍 Funding Navigator Concept – 1 page

Iowa Department of Natural Resources / Iowa Finance Authority

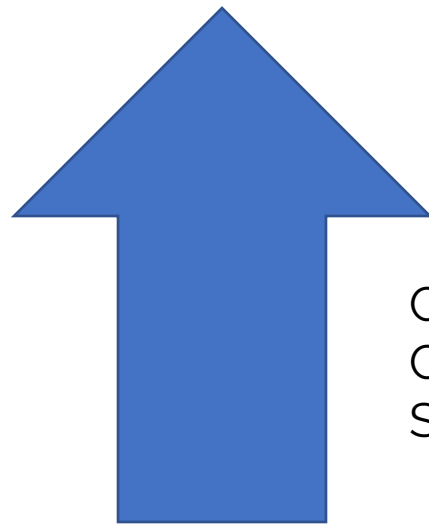


IOWA FINANCE
AUTHORITY

The Iowa Department of Natural Resources and the Iowa Finance Authority jointly administer the State Revolving Fund.

Iowa's [Drinking Water State Revolving Fund \(DWSRF\)](#) is the best choice to finance the design and construction of drinking water systems to help ensure public health and provide safe drinking water for Iowans.

Iowa's [Clean Water State Revolving Fund \(CWSRF\)](#) is the best choice to finance publicly owned wastewater treatment, sewer rehabilitation, replacement, and construction, and storm water quality improvements. Since 1989, the CWSRF has provided more than \$1.4 billion in financing assistance for water pollution control.



Click on the link of the
CWSRF to get to the
State's program website



Technical Assistance: Remote or In-Person

We can provide assistance on a full array of T, M, & F Topics such as:

Regulatory Compliance

Operator Certification & Education

Asset Management

Effective Utility Management

Workforce Development

Rates and Fiscal Sustainability

Affordability

Operation and Maintenance

Resilience and Planning for an Uncertain Future

Green and Natural Infrastructure

Water Efficiency (I/I)

Access to Funding Sources

Request assistance now or contact us for more information.



Technical Assistance Request Form

The EFCN offers no cost assistance to drinking water systems serving 10,000 or fewer people and wastewater systems that treat 1 million gallons per day or less. Examples of assistance we can provide include:

- Conducting a water loss audit
- Assessing options for lowering energy use
- Starting an asset management plan
- Identifying next steps in your asset management process
- Creating an asset inventory
- Mapping your water system
- Rate setting
- Near-term financial & long-term capital planning
- Analyzing your revenues and expenses
- Resiliency planning
- Preparing for an operator certification exam
- Helping understand water/wastewater math
- Integrating green infrastructure into your gray asset management plant

To request assistance, please fill out the form below. You will be asked a few questions to help us understand your system and what kind of assistance you need.

Name *

Title/Position *

<https://efcnetwork.org/get-help/>

CW SRF Series

Today's Webinar

Recording will be posted.

- **CWSRF Series Calendar:**
- **January 19, 2023** | What is the CWSRF?
- **February 16, 2023** | Changes to the SRF with additional BIL money
- **March 16, 2023** | Economics and affordability of low interest rate loans
- **April 20, 2023** | Why use SRF funds?
- **May 18, 2023** | What types of projects are eligible for CW SRF funding?
- **June 15, 2023** | Assessing Financial Condition to prepare for SRF Application
- **July 20, 2023** | Applying for SRF Funding
- **August 17, 2023** | Documents needed to apply for SRF Funding (PER, EID, etc.)
- **September 21, 2023** | What happens after the application is submitted?
- **October 19, 2023** | Analyzing and Adjusting Rates to Manage SRF Debt Service
- **November 16, 2023** | Navigating Federal Regulations
- **Tuesday, December 19, 2023** | How do I manage my grant after award?

You can view recordings of any past workshops in this series:



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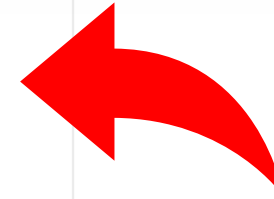
Contact

Get Help



CWSRF Series Calendar:

- **January 19, 2023** | What is the CWSRF and why should you care? | [View Recording](#) | [Download Slides](#)
- **February 16, 2023** | Changes to the SRF with additional BIL money | [View Recording](#) | [Download Slides](#)
- **March 16, 2023** | Why Small Communities Should Consider CWSRF Funding | [View Recording](#) | [Download Slides](#)
- **April 20, 2023** | Economics and affordability of low-interest rate loans
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Questions



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ENVIRONMENTAL
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